



Atty. Docket No.: 3882/12

*Sector \$*  
*#3*

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

In re Application of : Jean-Marc Paulin  
Serial No. : 10/026,372 Examiner: To be Assigned  
Filed : Dec. 24, 2001 Group Art Unit: 2171  
Title : SYSTEM AND METHOD FOR TRANSACTION  
RECORDING AND PLAYBACK

Box MISSING PARTS  
Assistant Commissioner for Patents  
Washington, D.C. 20231

**RESPONSE TO "NOTICE TO FILE MISSING  
PARTS OF NONPROVISIONAL APPLICATION--FILING DATE GRANTED"**

Sir:

We have received a Notice to File Missing Parts of Application -- Filing Date Granted. The Notice was mailed January 23, 2002. We are returning herewith a copy of that Notice and a copy of the executed oath and declaration.

Enclosed please find a check in the amount of \$870.00, which includes the basic filing fee of \$740.00 and the late filing fee of \$130.00. Any deficiencies in the fee, or any overpayments, should be charged to our Deposit Account No. 02-4270.

Respectfully submitted,

Dated: January 31, 2002

Seth H. Ostrow, Reg. No. 37,410  
BROWN RAYSMAN MILLSTEIN  
FELDER & STEINER LLP  
900 Third Avenue  
New York, New York 10022  
(212) 944-1515

I hereby certify that this paper is being deposited this date  
with the U.S. Postal Service as First Class Mail addressed to:  
Assistant Commissioner for Patents, Washington, D.C. 20231

  
Seth H. Ostrow

1-31-02  
Date



UNITED STATES PATENT AND TRADEMARK OFFICE

COMMISSIONER FOR PATENTS  
UNITED STATES PATENT AND TRADEMARK OFFICE  
WASHINGTON, D.C. 20231  
www.uspto.gov

APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
10/026,372	12/24/2001	Jean-Marc Paulin	3882/12

CONFIRMATION NO. 8034

29858  
BROWN, RAYSMAN, MILLSTEIN, FELDER & STEINER LLP  
900 THIRD AVENUE  
NEW YORK, NY 10022

## FORMALITIES LETTER



\*OC000000007343800\*

Date Mailed: 01/23/2002

## NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

FILED UNDER 37 CFR 1.53(b)

*Filing Date Granted*

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given **TWO MONTHS** from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The statutory basic filing fee is missing.  
*Applicant must submit \$ 740 to complete the basic filing fee for a non-small entity. If appropriate, applicant may make a written assertion of entitlement to small entity status and pay the small entity filing fee (37 CFR 1.27).*
- The oath or declaration is missing.  
*A properly signed oath or declaration in compliance with 37 CFR 1.63, identifying the application by the above Application Number and Filing Date, is required.*
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(l) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.
- **The balance due by applicant is \$ 870.**

*A copy of this notice MUST be returned with the reply.*

*Tedo Berber*

Customer Service Center

Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE

02/14/2002 BABRAHA1 00000026 10026372

01 FC:101  
02 FC:105

740.00 OP  
130.00 OP